

Government of the People's Republic of Bangladesh
Ministry of Local Government, Rural Development and Cooperatives
Local Government Division
Local Government Engineering Department (LGED)

Second Urban Governance & Infrastructure Improvement (Sector)
Project (UGIIP-2)
ADB Loan No. 2462-BAN (SF)



Social and Environmental Safeguard Monitoring Report

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Prepared by:

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Abbreviations

GOB	Government of Bangladesh
ADB	Asian Development Bank
UGIIP-II	Second Urban Governance and Infrastructure Improvement Project
EARF	Environmental assessment and review framework
RF	Resettlement framework
IEE	Initial environmental examination
EIA	Environmental impacts Assessment
DOE	Department of Environment
EE	Executive Engineer
ES	Environment Specialist
AE	Assistant Engineer
DC	Deputy Commissioner
AP	Affected Person
EA	Executing Agency
GRC	Grievance Redress Committee
IOL	Inventory of Losses
IR	Involuntary Resettlement
LGED	Local Government Engineering Department
MDS	Management Design & Supervision Consultants
NGO	Non-Government organization
NRS	National Resettlement Specialist
OM	Operation Manual
PDP	Pourashava Development Plan
PIB	Project Information Booklet
PIU	Project Implementation Unit
PMO	Project Management Office,
RP	Resettlement Plan
RS	Resettlement Specialist
SSA	Social Safeguard Assessment

Environmental and Social Safeguard in Second Urban Governance & Infrastructure Improvement (Sector) Project (UGIIP-2)

1. Background

The Government of Bangladesh (GOB) has undertaken the Second Urban Governance and Infrastructure Improvement (Sector) Project (UGIIP-2) with financial assistance from the Asian Development Bank (ADB) (Loan No. 2462 BAN (SF) together with co-financing from KfW and GIZ to improve governance and urban service provision in 47 Municipalities.

The overall objective of the Project includes construction, rehabilitation, expansion and implementation of subprojects of essential existing infrastructure and utility facilities for the urban sector of Bangladesh and to develop a well structured augmentation/rehabilitation program and implement according to prioritization.

Under the TA project for UGIIP-II, the resettlement framework (RF) and Environmental assessment and review framework (EARF) were prepared and the same were endorsed by both the funding agencies and GoB to be adopted for implementation of the UGIIP-II project. The frameworks specified the screening procedures and the guidelines for identifying the APs, estimating the compensation and assistance to be paid for the losses, grievance redress mechanism, preparation of IEE, EIA and the institutional requirements for monitoring the implementation of social and environmental safeguard aspects of the project.

Variety of subprojects have been undertaken under UGIIP-2, potential environmental impacts of a local nature can be expected and cover a wide spectrum. Accordingly, the criteria for selection or exclusion of subprojects address concerns related to potential significant or irreversible negative environmental impacts.

Potential social and environmental impacts stem from poor or improper location, planning and design practice. Construction impacts in a local setting and within the local community can be significant, even though of short duration and limited extent.

Government of Bangladesh (GOB) law and ADB policy require that the social and environmental impacts of development projects be identified and assessed as part of the planning and design process, and action be taken to reduce those impacts to acceptable levels. This is done through the screening/impact assessment process, which has become an integral part of all ADB lending operations, project development and implementation.

The project has been classified as environment 'Category B' as per criteria in the Environment Policy¹ of the ADB and Environmental Assessment Guidelines (November 2002) as applied by the ADB Urban Development Division, South Asia Department. Category B projects are "judged to have some adverse environmental impacts, but of lesser degree and/or significance than those for category A projects." As a result an "initial environmental examination (IEE) is required to determine whether or not significant environmental impacts warranting an EIA are likely."²

As per DOE, GOB, most of the subprojects under UGIIP-2 have been categorised as Orange-A and Orange-B. Water treatment plant, water distribution line laying/relaying/extension, landfill/dumping ground, and bus and truck terminal are in Red Category.

¹ ADB, Technical Assistance Report *Preparing the Second Urban Governance and Infrastructure Improvement (Sector) Project*, November 2006, Manila.

² Asian Development Bank, *Environmental Assessment Guidelines*, 2003.

DOE has issued an Environment Clearance Certificate for UGIIP-II subprojects which fall under Orange A and Orange B Categories vide letter DOE/clearance/5025/2010/375 dated 11/11/2010. Accordingly, only Red Category subprojects require the Environmental Assessment process including EIA for Environmental Clearance.

Considering all the above, following steps were adopted by the MDS Consultant's team for Environmental Safeguard compliance –

1. Review of the available Environmental safeguard documents and categorisation of the project as per ADB and GOB guidelines.
2. Separate Consultation with MDS team members, PMO staffs and PIU staffs to explain the importance of the safeguards.
3. Separate Workshop on safeguard policies for all Municipal Engineers, EE and AE of all the Pourashavas under UGIIP-2
4. Screening and re-categorisation of each and every scheme with the help of REA checklist transect walk and public consultation for individual schemes during visit to individual project scheme sites.
5. Preparation of sector subproject IEE for each sector subproject for each Pourashava.
6. Preparation of TOR for conduction EIA to get environmental clearance from DOE, GOB for Red category projects.

Similarly for the social impacts, each subproject is screened to assess the likely impacts, categorised as either 'Category B' or 'Category C'³ and depending on the requirement a short Resettlement Plan (SRP) is prepared to address the negative impacts as per the approved Resettlement Framework. It has been observed in a large number of subprojects that the impacts are limited to removal of a few trees, temporary/permanent boundary walls, shifting of business activities during the construction period and the like. Subsequent to identification of the impacts through the screening exercise, socio-economic surveys of the affected persons have been conducted to address the negative impacts and the costs towards compensation as well as other assistances have been estimated in the short RP. Because of the priority need for the proposed subprojects, there have been instances where the local community have been forthcoming in voluntarily removing the structure/assets to facilitate their implementation. In such cases, written consent of the affected person(s)/ local community and the respective pourashava officials have been documented.

Land acquisition too has been required in a few subprojects, however, these have been processed through the standard land acquisition process i.e. after obtaining approval from Ministry, the PMO has disbursed the compensation amount decided by DC office for the proposals made by the Pourashava.

The safeguard team of UGIIP-2 comprises of International Resettlement and Environment Specialists in addition to the National Specialists all stationed at Dhaka. Presently the team consists of Mr. SBIM Safiq-ud-doula, Mr. ATM Saiful Munir and Abdur Rahim as Resettlement specialists and Mr. Asaduzzaman Chowdhury and Mr. P.K.Kar as national and International Resettlement specialists respectively. Environment team consists of Mr. Habibur Rahman and Mr. Haider Azam as Environment specialists. Dr. Samar Kumar Banerjee is International Environment specialist in the team.

In phase 3, the project covers 47 pourashavas. Sector wise proposals for 3rd Phase subprojects are already submitted to PMO but at present PMO is approving the additional proposals for the Pourashavas which are at various stages of finalization. The Resettlement

³ As a policy matter Category A subproject that are likely to impact more than 200 PAPs are not to be included under UGIIP 2 Program.

Specialists & Environment specialists are in the process of preparing the SSA/IEE reports for the finalized subproject proposals.

Monitoring of the safeguard aspects is regularly carried out by the UGIIP 2 safeguard team by undertaking field visits and monthly/quarterly progress reports are being submitted to PMO.

A summary of the activities during the reporting month (March, 2014) by the Safeguard team is presented below:

- SSA Report-02 Additional Subprojects submitted on urban transport for Golapganj, Madhabpur, Haziganj, Bashurhat, Chandpur & Parshuram Pourashava.
- IEE Report submitted for Parshuram, Haziganj, Bashurhat and Chandpur pourashava for Urban Transport sector and for the Municipal Facilities Sector for Sreemangal Pourashava.
- The Safeguard team organised orientation cum training programme on implementation of safeguard issues during design, construction and operation stage of the project at Sreemangal and Jhenaidha Pourashava on 02.03.2014 and 24.03.2014 respectively .
- Separate reports were submitted to PMO on Orientation cum Training programme on safeguard implementation at Sreemangal on 02.03.2014 where participants were poura officials and contractors from Madhabpur, Sunamganj, Golapganj, Brahmanbaria and Sreemangal pourashava
- Separate reports were submitted to PMO on Orientation cum Training programme on safeguard implementation at and at Jhenaidha on 24.03.2014 in which poura officials and contractor representatives from Jhenaidah, Kustia, Faridpur, Narail, Gopalganj, Chuadanga, Benapole and Satkhira pourashavas participated.

2. Monitoring of Safeguards in UGIIP- 2

Monitoring of safeguards during and after project implementation is an integral part of any donor funded project. Hence UGIIP 2 adopts a safeguard monitoring process based on document review and field checks that is acceptable to donor agencies.

Monitoring of environmental safeguards

- Document review of physical progress of subprojects by
 - Pourashava
 - Sector
 - Environmental category
- Physical verification at site for
 - Adherence to EMP
 - Safety and other risks
 - Stakeholders/Community consultation
- Documentation of findings (including photographic evidence)

Monitoring of Social Safeguards

- Document review of physical progress of subprojects by
 - Pourashava
 - Sector
 - Social category and SRP
- Physical verification at site for
 - Adherence to SRP
 - Stakeholders/Community consultation
 - Grievances
- Documentation of findings (including photographic evidence of disbursements, site conditions)

During the reporting period, an ADB Mission reviewed the safeguard activities in the project and as per their interactions; follow up actions were taken by the PMO as well as the pourashavas on the field observations reported in the safeguard monitoring reports. Correspondences in this regard are presented in appendix – 1. As some of the contractors were inducted in to the UGIIP II project for the first time during the Phase III programme; orientation and training program for the pourashava officials and the contractors were organised regionally as detailed in section 6.0 and 7.0 of this report by the Safeguard team to strengthen monitoring process in the project. Table 2.1 below presents the action taken activities:

Table 2.1 Action Taken on Observation of ADB Mission

SI No.	Date	Particulars
1	24/02/2014	Meeting with ADB Review Mission
2	25/02/2014	Letters from PMO to Sreemangal, Madhabpur & Golapganj Pourashavas
3	13/03/2014	Action taken compliance from Madhabpur Pourashava
4	16/03/2014	Action taken compliance from Golapganj Pourashava

3. Overall Status of Social Safeguards as of reporting Month

In Phase -2 of UGIIP-2, 35 municipalities were included and in Phase -3, 47 Municipalities have been included which consists of 31 municipalities of Phase-2 and 16 new municipalities. Various subprojects considered for the infrastructure improvement of municipalities are water supply, Sanitation, Solid waste management, Drainage, Urban transport & communication and Municipal facilities.

Sector wise proposals for 3rd Phase subprojects are already submitted by the pourashavas to PMO but at present PMO is approving the additional proposals for the pourashavas which are at various stages of finalization. The Resettlement Specialists are in the process of preparing the SSA /SRP reports for the finalized subproject proposals.

Up to 31st March, 2014, the following SSA/RP reports have been submitted by the safeguard team for different subprojects.

SUMMARY STATUS OF SAFEGUARD ASSESSMENT REPORTS, UGIIP-II Phase-2 & 3 **(as on March, 2014)**

Sector	SSA & RP Reports Submitted		Remarks
	Phase 2	Phase 3	
Urban Transport	213	97	<u>Reports submitted:</u> SSA Report-02:Additional Subprojects submitted on urban transport for Golapganj, Madhabpur, Haziganj, Bashurhat, Chandpur & Parshuram Pourashavas <u>Pourashavas visited:</u> Sreemangal & Jhenaidha
Water Supply	10	-	
Solid Waste Management	21	-	
Drainage	55	4	
Sanitation	21	-	
Municipal Facilities	40	4	
Total	360	105	

4. Land Acquisition (LA)

Out of 47 Pourashavas under UGIIP-II, 14 have submitted proposal to PMO for land acquisition under solid waste management component to develop dumping place / land fill site and construction of Bus Terminal (Municipal Facilities). After reviewing the proposals received from Pourashavas, PMO has forwarded these to Local Government Division, LGED. Subsequently, Local Government Division informed the relevant Deputy Commissioners for onsite inquiry and to give their opinion on land acquisition. After the inquiry by the Land Acquisition Office, all Pourashavas got administrative approval for land acquisition from Local Government Division. Out of the 14 Pourashavas, the land acquisition activities of 08 Pourashavas (Faridpur, Benapole, Bhanga, Satkhira, Sreemongal, Chowmuhami, Patgram and Ghorashal) have been completed so far. Nachole and Cox's Bazar Pourashavas have got the administrative approval from local Government Division and DC office has already started all procedures for land acquisition.

Money for Land acquisition in Chowmuhami, Benapole, Faridpur, Bhanga, Satkhira, Sreemongal and Patgram Pourashava have been given payment fully to the respective Pourashavas and the remaining Pourashava Ghorashal has been given partial payment by the PMO. Total amount is BDT 16, 14, 98,721.

5. Status of Environmental Safeguards as of reporting Month

As already stated, in Phase -2 of UGIIP-2, 35 municipalities were included and in Phase -3, 47 Municipalities have been included which consists of 31 old municipalities of Phase-2 and 16 new municipalities. Various subprojects considered for the infrastructure improvement of municipalities are water supply, Sanitation, Solid waste management, drainage, urban transport and communication and Municipal facilities.

Following Environmental Safeguard documents have been prepared for UGIIP-II-

- Environmental Screening Checklist for each sector subproject
- REA Checklist for each sector subproject
- Environmental Assessment Framework for the project
- Initial Environmental Examinations (IEE) for each sector subproject for each Pourashava.
- TOR for EIA for environment clearance for red category projects in UGIIP-II

Environment specialists are in the process of preparing the IEE and EIA reports for the finalized subproject proposals.

Up to 31st March, 2014, the following IEE reports have been submitted by the safeguard team for different subprojects. In addition to these 5 EIA reports have been submitted to Department of Environment (DOE), Government of Bangladesh (GOB) for Environment clearance of the subprojects as per Environment Conservation Rule 1997, GOB.

SUMMARY STATUS OF SAFEGUARD ASSESSMENT REPORTS, UGIIP-II Phase-2 & 3 **(as on March, 2014)**

Sector	IEE Submitted		EIA Submitted		Remarks
	Phase II	Phase III	Phase II	Phase III	
Urban Transport	213	97	-	-	<u>Reports submitted:</u> IEE Report Submitted for for Urban Transport sector projects in Parshuram, Haziganj, Bashurhat & Chandpur pourashavas and for Mcpl. Facility Sector in Sreemangal Pourashava. <u>Pourashavas visited:</u> Sreemangal & Jhenaidha
Water Supply	10	-	4	-	
Solid Waste Management	21	-	-	-	
Drainage	55	4	-	-	
Sanitation	21	-	-	-	
Municipal Facilities	40	4	-	1	
Total	360	105	4	1	

6. Report on Orientation cum Training Programme at Sreemangal

Programme Orientation cum Training Programme on implementation of Safeguard Issues in UGIIIP-II

Venue: Conference room, Sreemangal Pourashava

Date– 02nd March, 2014

As per schedule programme the Safeguard Team reached at Sreemangal on 01.03.2014 evening and discussed with the Pourashava Mayor and other officials about safeguard Orientation cum Training programme on implementation of Safeguard Issues. They assured all types of cooperation and briefed arrangement for the Training programme.

Orientation cum Training Programme started in time with the wel-come speech of the Mayor Sreemangal Pourashava and the programme was conducted as per schedule (attached for reference).

The training programme was attended by following personnel –

Pourashava	Personnel attended
Sreemangal	Asst. Engr, Sub Asst.Engr, Work Assistant, Secretary , Municipal Engineer (MDS) and one contractor representative.
Madhabpur	Asst. Engr, one contractor representative,
Golapganj	Executive Engr, Municipal Engineer (MDS)
Brahmanbaria	Executive Engr, Sub Asst. Engr and JME (MDS)
Sunamganj	Asst. Engr , Municipal Engineer (MDS) and three contractor representative,

Attendance of the personnel attended in the training programme is attached for reference.

Following MDS safeguard team representatives participated in the training programme-

Name	Designation
Mr. P.K.Kar	Resettlement Specialist (International)
Dr. Samar Kr. Banerjee	Environment Specialist (International)
Md.Asaduzzaman Chowdhury	National Resettlement Specialist
Md. Habibur Rahman	Environment Specialist

Following issues were discussed during the presentation -

1. Mr. P.K.Kar discussed the Uniqueness of the UGIIP-2 project and explained the need of safeguard documents and implementation of safeguard measures in the project in light of the PMO, GOB and ADB requirements.
2. He also discussed the various social issues in the participating pourashavas observed / reported by safeguard team during implementation of the various subproject. Mitigation measures suggested and implemented in various pourashava was discussed. Non compliance of the pourashava was also pointed out to the pourashava representatives. Concerns of PMO on non compliance of safeguard issues in various subprojects were intimated to the pourashava representatives.
3. Dr. Samar Kr. Banerjee discussed various Environmental health and safety issues in the participating pourashavas observed / reported during implementation of the various subproject. Mitigation measures suggested and implemented in various pourashava was discussed. Non compliance of the pourashava was also pointed out to the pourashava representatives. Concerns of PMO on non compliance of Environment health safety issues in various subprojects were intimated to the pourashava representatives.
4. Contract document in light of the Environment Health and safety issues were also discussed by Dr. Samar Kr. Banerjee and it was explained to the contractor and pourashava representatives that implementation of Environment Health and safety measures is the contractual obligation of the contractor .Consequences of non compliance were also explained to them
5. Contractor and pourashava representatives raised some problems they are facing in implementation of safeguard measures were discussed in details in the forum and all the participants took part in the discussion, In addition to the Mr. Kar, Mr. Banerjee of MDS team Md. Asaduzzaman Chowdhury and Md. Habibur Rahman took part in the discussion.
6. The training programme ended with assurance of implementation of safeguard measures in all the subprojects in participating pourashavas form pourashava representatives and contractors.
7. Vote of thanks to the PMO, participants and Sreemangal pourashava was delivered by Md. Asaduzzaman Chowdhury

Selected photographs of the safeguard orientation cum Training programme on implementation of safeguard Issues are enclosed for reference.

**SOME MOMENTS OF SAFEGUARD ORIENTATION CUM TRAINING PROGRAMME AT
SREEMANGAL POURASHAVA ON 02.03.2014**



7. Report on Orientation cum Training Programme at Jhenaidah

Programme Orientation cum Training Programme on implementation of Safeguard Issues in UGIIP-II

Venue: Conference room, Jhenaidah Pourashava

Date– 24 March, 2014

As per schedule programme the Safeguard Team reached at Jhenaidah on 23.03.2014 evening and discussed with the Pourashava Mayor and other officials about safeguard Orientation cum Training programme on implementation of Safeguard Issues. They assured all types of cooperation and briefed arrangement for the Training programme.

Orientation cum Training Programme started in time with the welcome speech of the Mayor Jhenaidah Pourashava and the programme was conducted as per schedule (attached for reference).

The training programme was attended by following personnel –

Pourashava	Personnel attended
Jhenaidah	Mayor, Executive Engg., Asst. Engg, Sub Asst. Engg, Work Assistant, Secretary , Municipal Engineer (MDS) and contractor representatives.
Faridpur	Executive Engg., Asst. Engg, Sub Asst. Engg, Junior Municipal Engineer (MDS) ,contractor representatives
Benapole	Sub Asst. Engg, Municipal Engineer (MDS), contractor representatives
Satkhira	Sub Asst. Engg, Municipal Engineer (MDS), contractor representatives
Kustia	Executive Engg., Asst.Engg, Municipal Engineer (MDS) and contractor representatives.
Chuadanga	Executive Engg., Asst. Engg, Municipal Engineer (MDS) and contractor representatives.
Narail	Asst. Engg, Municipal Engineer (MDS)

Attendance of the personnel attended in the training programme is attached for reference.

Senior member of MDS safeguard team participated in the training programme.

Following issues were discussed during the presentation -

1. Md.Asaduzzaman Chowdhury discussed the Uniqueness of the UGIIP-2 project and explained the need of safeguard documents and implementation of safeguard measures in the project in light of the PMO, GOB and ADB requirements.
2. He also discussed the various social issues in the participating pourashavas observed / reported by safeguard team during implementation of the various subproject. Mitigation measures suggested and implemented in various pourashava was discussed. Non compliance of the pourashava was also pointed out to the pourashava representatives.

Concerns of PMO on non compliance of safeguard issues in various subprojects were intimated to the pourashava representatives.

3. Dr. Samar Kr. Banerjee discussed various Environmental health and safety issues in the participating pourashavas observed / reported during implementation of the various subproject. Mitigation measures suggested and implemented in various porashava was discussed. Non compliance of the pourashava was also pointed out to the pourashava representatives. Concerns of PMO on non compliance of Environment health safety issues in various subprojects were intimated to the pourashava representatives.
4. Contract document in light of the Environment Health and safety issues were also discussed by Dr. Samar Kr. Banerjee and it was explained to the contractor and pourashava representatives that implementation of Environment Health and safety measures is the contractual obligation of the contractor .Consequences of non compliance were also explained to them
5. Contractor and pourashava representatives raised some problems they are facing in implementation of safeguard measures were discussed in details in the forum and all the participants took part in the discussion, In addition to the Mr. Banerjee and Md. Asaduzzaman Chowdhury of MDS team Md. Amir Hosain Mollah of LGED took part in the discussion.
6. Mayor of Jhenaidah pourashava discussed various contractual and administrative as well as contractor appointment issues in implementing the project schemes and safeguard issues. He appealed to each and every engineer to look in to the safeguard issues and explained them that implementation of safeguard issues are beneficial for every pourashava.
7. The training programme ended with assurance of implementation of safeguard measures in all the subprojects in participating pourashavas form pourashava representatives and contractors.
8. Vote of thanks to the PMO, participants and Jhenaidah pourashava was delivered by Md. Asaduzzaman Chowdhury

Photographs of the some moments of safeguard orientation cum Training programme on implementation of Safeguard Issues are enclosed for reference.

**SOME MOMENTS OF SAFEGUARD ORIENTATION CUM TRAINING PROGRAMME AT
JHENAIDAH POURASHAVA ON 02.03.2014**





APPENDICES